ATTORNEY DOCKET NUMBER 015444.0608 (PMN: 4213US2)

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James R. Birle et al.

Serial No.:

10/064,745

Filing Date:

August 12, 2002

Art Unit:

3628

Confirmation No.:

6610

Examiner:

Kirsten Sachwitz Apple

Title:

CONVERTIBLE FINANCIAL INSTRUMENTS WITH

CONTINGENT PAYMENTS

Honorable Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Response to Decision on Appeal under 37 C.F.R. § 1.111

The Decision on Appeal dated November 3, 2010 "contains a new rationale for rejecting claims 10 to 95 under 35 U.S.C. § 101 pursuant to 37 C.F.R. § 41.50(b)." *Decision* at 6. In response to the Decision on Appeal, Applicants respectfully "[r]equest that prosecution be reopened before the examiner by filing a reply under § 1.111 of this title with . . . amendment." 37 C.F.R. § 41.50(b). Accordingly, Applicants respectfully request that the Examiner consider the rejection of the claims in view of the following amendments and remarks. Please amend the Application as follows.